

Neuronal nonlinearity explains greater visual spatial resolution for darks than lights

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Astronomers and physicists noticed centuries ago that visual spatial resolution is higher for dark than light stimuli, but the neuronal mechanisms for this perceptual asymmetry remain unknown. Here we demonstrate that the asymmetry is caused by a neuronal nonlinearity in the early visual pathway. We show that neurons driven by darks (OFF neurons) increase their responses roughly linearly with luminance decrements, independent of the background luminance. However, neurons driven by lights (ON neurons) saturate their responses with small increases in luminance and need bright backgrounds to approach the linearity of OFF neurons. We show that, as a consequence of this difference in linearity, receptive fields are larger in ON than OFF thalamic neurons, and cortical neurons are more strongly driven by darks than lights at low spatial frequencies. This ON/OFF asymmetry in linearity could be demonstrated in the visual cortex of cats, monkeys, and humans and in the cat visual thalamus. Furthermore, in the cat visual thalamus, we show that the neuronal nonlinearity is present at the ON receptive field center of ON-center neurons and ON receptive field surround of OFF-center neurons, suggesting an origin at the level of the photoreceptor. These results demonstrate a fundamental difference in visual processing between ON and OFF channels and reveal a competitive advantage for OFF neurons over ON neurons at low spatial frequencies, which could be important during cortical development when retinal images are blurred by immature optics in infant eyes.

neuronal coding | lateral geniculate nucleus | area V1 | irradiation illusion | LFP

Light and dark stimuli are separately processed by ON and OFF channels in the retina and visual thalamus. Surprisingly, although most textbooks assume that ON and OFF visual responses are balanced throughout the visual system, recent studies have identified a pronounced overrepresentation of the OFF visual responses in primary visual cortex (area V1) (1–3). This recent discovery resonates with pioneering studies by Galilei (4) and von Helmholtz (5) who noticed that visual spatial resolution was higher for dark than light stimuli. Galilei (4) related the difference in resolution to the observation that a light patch on a dark background appears larger than the same sized dark patch on a light background, an illusion that von Helmholtz (5) named the “irradiation illusion.” Although this illusion has been studied in the past (6, 7), its underlying neuronal mechanisms remain unknown. It has been suggested that the perceived size differences could be caused by the light scatter in the optics of the eye followed by a neuronal nonlinearity (6, 7), but there are no neuronal measurements of a nonlinearity that fits the explanation. Previous studies revealed differences in response linearity between ON and OFF retinal ganglion cells (8, 9) and horizontal cells (10). However, a main conclusion from these studies was that ON retinal ganglion cells were roughly linear and less rectified than OFF retinal ganglion cells (8, 9), which is exactly the opposite of what would be needed to explain the irradiation illusion. Moreover, it remains unclear if ON/OFF retinal differences in response linearity and response gain propagate from retina to visual

cortex. To investigate the neuronal mechanisms of the irradiation illusion, we recorded neuronal activity in the visual thalamus and cortex of anesthetized cats, local field potentials in awake monkeys, and visually evoked potentials in humans. We show that OFF neurons in thalamus and cortex increase their responses roughly linearly with luminance contrast, independently of the background luminance. In contrast, ON neurons saturate their responses with small increases in luminance, and approach the linearity of the OFF neurons only on bright backgrounds that make ON responses weaker. We also show that a simple model that uses an early retinal nonlinearity can explain several seemingly unrelated ON/OFF spatial asymmetries, including the difference in spatial resolution between darks and lights, the spatial frequency dependence of OFF dominance in visual cortex, and the difference in receptive field size between ON and OFF retinal ganglion cells. Moreover, because the asymmetry between ON and OFF neurons is present both at the receptive field center and surround of thalamic neurons, our results strongly suggest that it originates at the level of photoreceptors.

Results

Irradiation Illusion. To investigate the neuronal correlate of the illusion, we recorded extracellular activity of single neurons in the lateral geniculate nucleus (LGN) and multiunit activity in the primary visual cortex (V1) of cats. We used light patches on a dark background to map the receptive fields of ON-center cells and dark patches on a light background to map the receptive fields of OFF-center cells. We hypothesized that light patches

Significance

Light and dark stimuli are separately processed by ON and OFF channels in retina and thalamus. Although most textbooks assume that ON and OFF visual responses are relatively balanced throughout the visual system, recent studies have identified a pronounced overrepresentation of OFF responses in the cerebral cortex. This recent discovery resonates with Galilei and Helmholtz’s pioneering observations that visual spatial resolution is higher for darks than lights. In this paper, we demonstrate that these two seemingly separate findings are related and caused by a pronounced difference between ON and OFF luminance response functions, which most likely originates in photoreceptors. Therefore, asymmetric ON and OFF neural responses provide the neurophysiological explanation for an almost four-century-old puzzle dating back to Galileo.

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are perceived as larger than dark patches when the stimulation conditions make ON receptive fields larger than OFF receptive fields (8, 11). Consistent with this interpretation, our measurements revealed that the average receptive field center is larger in ON than OFF cells in LGN (Fig. 1*A*, *Upper*; $\text{ON}_{\text{size}}/\text{OFF}_{\text{size}} = 1.47$, $P < 0.001$, Wilcoxon test used here and in all subsequent statistical comparisons) and a significant difference could also be demonstrated in V1 (Fig. 1*A*, *Lower*; $\text{ON}_{\text{size}}/\text{OFF}_{\text{size}} = 1.29$, $P < 0.001$). As with the irradiation illusion (5, 7), a gray background made the differences between ON and OFF receptive field sizes disappear in LGN (Fig. 1*B*, *Upper*; $\text{ON}_{\text{size}}/\text{OFF}_{\text{size}} = 0.99$, $P = 1$) and could even reverse them slightly in V1 (Fig. 1*B*, *Lower*; $\text{ON}_{\text{size}}/\text{OFF}_{\text{size}} = 0.8$, $P = 0.01$).

Interestingly, although the receptive field sizes of ON LGN and V1 neurons were strongly affected by background illumination (Fig. 1*C*), the receptive field sizes of OFF neurons were similar in light and gray backgrounds (Fig. 1*D*). These differences in receptive field size could be demonstrated in most thalamic neurons (Fig. 1*C* and *D*) and could be replicated across neurons of different types (*SI Text*).

Spatial Resolution. Consistent with the irradiation illusion, these measurements suggest that the spatial resolution of LGN and V1 neurons is higher for dark than light stimuli. Surprisingly, measurements with gratings in V1 seemed to indicate the opposite: The ON channel responded to higher grating frequencies than the OFF channel. Grating spatial resolution of ON and OFF visual responses in V1 was measured with flashed stationary half-wave rectified sinusoidal gratings of varying spatial frequency, orientation, and phase on a gray background (Fig. 2*A*). Overall, V1 responses were stronger when driven by the dark half of the rectified sinusoidal grating than by the light half (Fig. 2*B* and *C*), confirming a cortical OFF dominance previously demonstrated in cats, primates, and humans (1–3, 12, 13). As expected, ON and OFF visual responses had similar orientation preference (Fig. 2*D* and *F*; $\text{ON-OFF} = 3^\circ$, $P = 0.9$); however, the orientation bandwidth was slightly broader for OFF than ON ($\text{ON/OFF} = 0.9$, $P < 0.001$). More surprisingly, ON visual responses had a higher peak grating frequency (Fig. 2*E* and *G*; $\text{ON/OFF} = 1.2$, $P < 0.001$) and were more weakly driven by low grating frequencies than OFF visual responses (Fig. 2*E* and *H*; $\text{ON/OFF} = 0.6$, $P < 0.001$). These ON/OFF differences in grating frequency tuning were robust and could also be demonstrated with reverse correlation methods (*SI Text*). Therefore, the measurements with rectified sinusoidal gratings suggest

that the ON visual channel can respond to higher-frequency gratings than the OFF visual channel, just the contrary of what we concluded from measurements of receptive field size (Fig. 1). How can we reconcile these two seemingly contradictory findings?

Nonlinear Luminance/Response Function. We recently proposed (7) that differences in spatial resolution between darks and lights could be caused by a compressive nonlinearity in the luminance/response function at the retina (6, 7). However, this explanation seemed unlikely because such nonlinearity would cause pronounced differences in the strength of ON and OFF visual responses throughout the visual pathway. Because these differences in response strength were not reported before in the retina/LGN of cats (14, 15) and/or V1 input layers of primates (1, 2), we decided to directly measure the luminance/response functions of LGN and V1 neurons. To our surprise, OFF visual responses increased their strength roughly linearly with luminance contrast, independently of the background luminance, in both LGN and cortex (Fig. 3*A* and *B*; LGN, circles; V1, crosses). Conversely, ON visual responses saturated with very small increases in luminance and required gray backgrounds to approach the linearity of the OFF visual channel (Fig. 3*C* and *D*). In both LGN and V1, OFF visual responses had similar luminance half-saturation (L_{50}) in light and gray backgrounds (Fig. 3*E*; LGN OFF L_{50} light/gray = 0.96, $P = 0.8$; V1 OFF L_{50} light/gray = 1.03, $P = 0.2$). On the contrary, ON visual responses had an L_{50} that was three to four times higher in dark than gray backgrounds (Fig. 3*F*; LGN ON L_{50} dark/gray = 0.26, $P < 0.001$; V1 ON L_{50} dark/gray = 0.3, $P < 0.001$). It should be noted that the differences in linearity between darks and lights could not be explained simply by differences in the level of light adaptation because the luminance half-saturation (L_{50}) was still higher for darks than lights on gray backgrounds (LGN OFF/ON $L_{50} = 1.49$, $P < 0.0001$; see below for similar results with V1 data).

Importantly, changes in background illumination from gray to light/dark did not affect the maximum response (R_{max}) of LGN neurons (Fig. 3*G* and *H*; LGN OFF R_{max} light/gray = 0.96, $P = 0.9$; LGN ON R_{max} dark/gray = 1.13, $P = 0.5$) or V1 OFF responses but affected V1 ON responses (Fig. 3*G* and *H*; V1 OFF R_{max} light/gray = 0.89, $P = 0.3$; V1 ON R_{max} light/gray = 1.45, $P = 0.01$). V1 neurons generated weaker ON than OFF responses on gray backgrounds (Fig. 3*I*; V1 R_{max} OFF/ON = 2.1, $P < 0.001$) even if the L_{50} was still larger for OFF responses (Fig. 3*J*; V1 L_{50} OFF/ON = 1.25, $P < 0.001$). OFF responses were also slightly

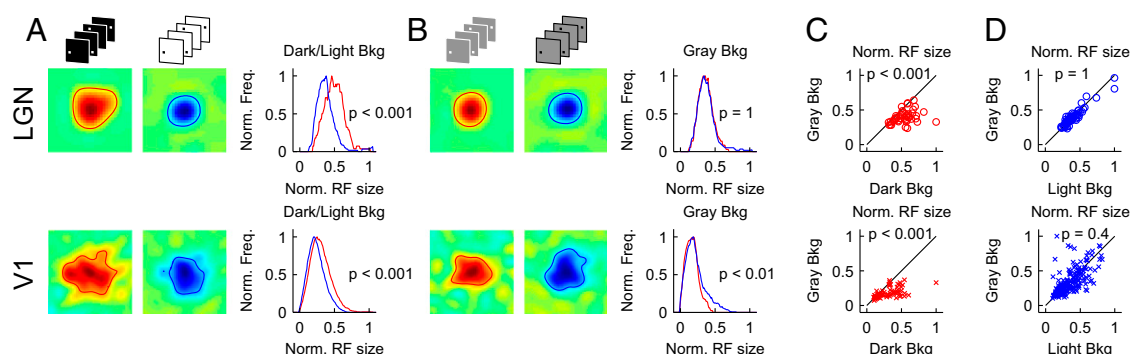


Fig. 1. Correlate of the irradiation illusion in visual thalamus and cortex. (*A*) Receptive fields of ON-center LGN cells are larger than receptive fields of OFF-center LGN cells when mapped in conditions that resemble the irradiation illusion (ON, light targets on dark background; OFF, dark targets on light background) (*Upper*). Likewise, ON subfields of V1 neurons are larger than OFF subfields of V1 neurons (*Lower*). Receptive field maps (*Left* and *Middle*) are shown for ON and OFF LGN cells that are retinotopically aligned and for ON and OFF visual responses of a V1 recording site. The distributions of receptive field size (*Right*) have been normalized by the maximum receptive field size measured. In this and the following figures, red color represents ON and blue represents OFF. (*B*) As in the irradiation illusion on gray background, differences in receptive field size disappear in LGN (*Upper*) and slightly reverse in V1 (*Lower*). (*C*) ON receptive field sizes are larger in the dark than on gray backgrounds (*Upper* circles, LGN; *Lower* crosses, V1). (*D*) OFF receptive field sizes are similar in light and gray backgrounds (*Upper* circles, LGN; *Lower* crosses, V1).

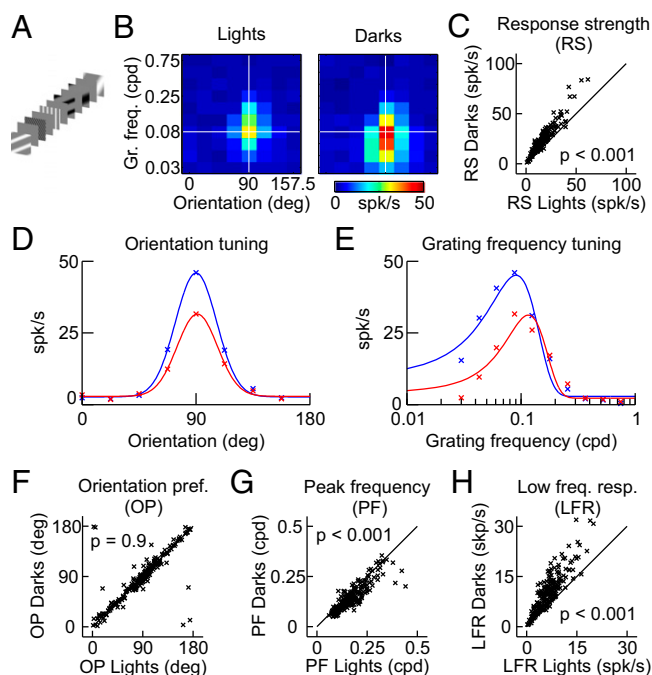


Fig. 2. Orientation and grating frequency tuning of lights and darks in V1. (A) Stimulus design. Light and dark half-wave rectified sinusoidal gratings were flashed for 100 ms on a gray background. Grating frequency and orientation were varied in random order. (B) Example response maps to light and dark gratings measured in a V1 recording site. Dark gratings caused stronger responses than light gratings, especially when the grating frequency was low. (C) The V1 response strength (RS) was consistently higher for dark than light gratings. (D) Orientation tuning estimated at the peak grating frequency (horizontal white lines in B). (E) Grating frequency tuning estimated at the preferred orientation (vertical white lines in B). (F) V1 orientation preference (OP) was similar when measured with dark and light gratings. (G) V1 peak grating frequency (PF) was higher when measured with light than dark gratings. (H) The V1 low frequency response (LFR) was much stronger for dark than light gratings. LFR, value of Gaussian fit at a grating frequency of 0 cpd.

stronger than ON responses in LGN; however, the difference was smaller than in V1 (LGN R_{max} OFF/ON = 1.3, $P = 0.03$). Therefore, we conclude that OFF dominance is already present in the visual thalamus but is greatly amplified in visual cortex, consistent with previous cortical measurements (1–3). Importantly, these differences in ON/OFF response linearity in the cat could be replicated in the visual cortex of the awake monkey using local field potential recordings (Fig. 4 A–F; dark/light background OFF/ON $L_{50} = 2.5$, $P < 0.001$; gray background OFF/ON $L_{50} = 1.3$, $P < 0.01$; ON L_{50} dark/gray = 0.47, $P < 0.001$; OFF L_{50} light/gray = 0.87, $P = 0.75$; $n = 21$) and humans using visually evoked potentials (Fig. 4 G–I, dark/light background OFF/ON $L_{50} = 3.23$, $P < 0.01$, $n = 6$). Therefore, these differences in linearity between ON and OFF responses are present in different species, are effectively transmitted across the visual pathway, and are likely to play an important role in cortical processing.

Origin of the Nonlinearity in the Luminance/Response Function. Where does the ON response nonlinearity originate in the visual pathway? Having the nonlinearity restricted to the ON receptive field center would indicate an origin in ON-center retinal cells (e.g., ganglion cells or bipolar cells). However, if the nonlinearity was also present in the ON surround of OFF-center cells, its origin could be as early as the photoreceptor itself. To distinguish between these two possibilities, we compared the center and surround

luminance/response functions of ON-center and OFF-center LGN cells (Fig. 5). Our results demonstrate that the visual responses are nonlinear in both ON centers and ON surrounds (Fig. 5; ON-center $L_{50} = 0.06$, ON-surround $L_{50} = 0.13$, $P = 0.234$). Conversely, visual responses are similarly linear in OFF centers and

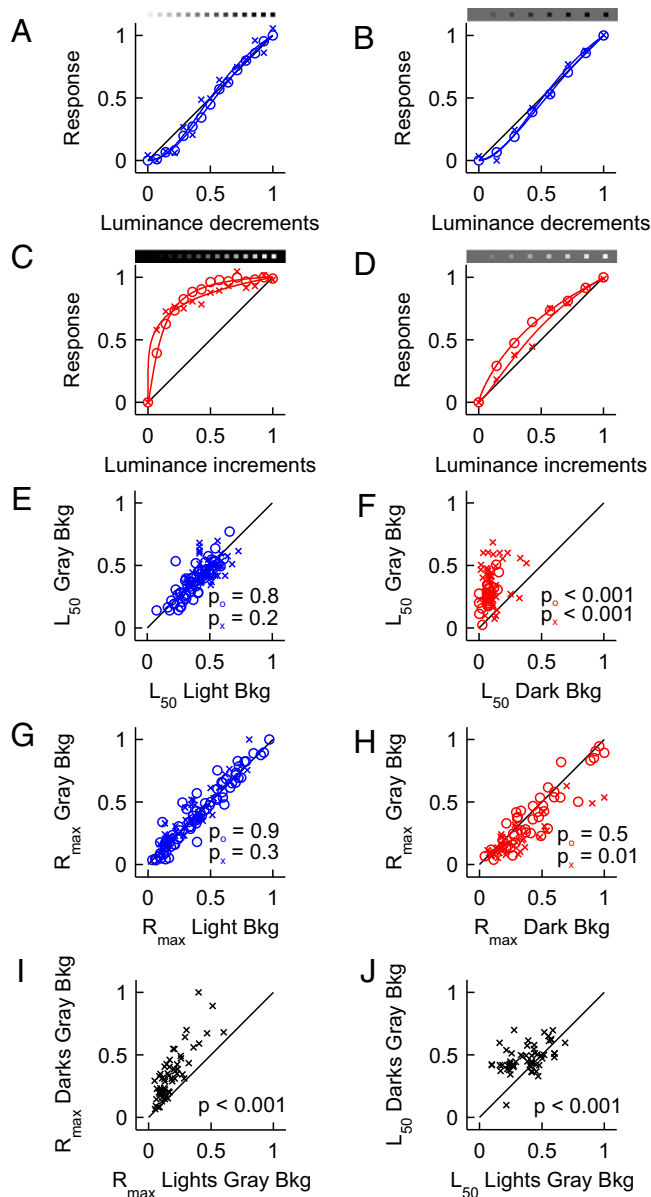
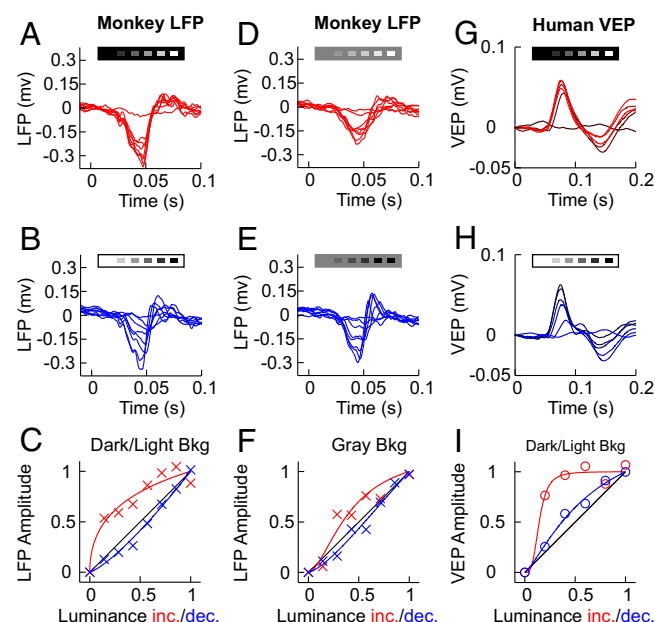


Fig. 3. Luminance/response functions of ON and OFF visual responses. (A and B) Luminance/response functions of OFF responses in LGN (circles) and V1 (crosses) are roughly linear when measured on light (A) and gray (B) backgrounds. Visual responses were fitted with a Naka-Rushton function and the half saturation (L_{50}) and maximum response (R_{max}) constants were estimated. (C) Luminance/response functions for ON responses in LGN (circles) and V1 (crosses) saturated with small luminance increments on dark backgrounds. (D) On gray backgrounds, the ON luminance/response functions became more linear; however, ON functions were still more compressive than OFF functions. (E) L_{50} for OFF responses was similar on gray and light backgrounds. (F) L_{50} for ON responses was much higher on gray than dark backgrounds. (G) R_{max} for OFF responses was similar in gray and light backgrounds. (H) In LGN (circles), R_{max} for ON responses was similar in dark and gray backgrounds. In V1 (crosses), R_{max} for ON responses was lower in gray than dark backgrounds. (I and J) On gray backgrounds, R_{max} (I) and L_{50} (J) in V1 were larger for OFF than ON responses. Notice that I and J compared OFF and ON responses generated by the same V1 recording site.



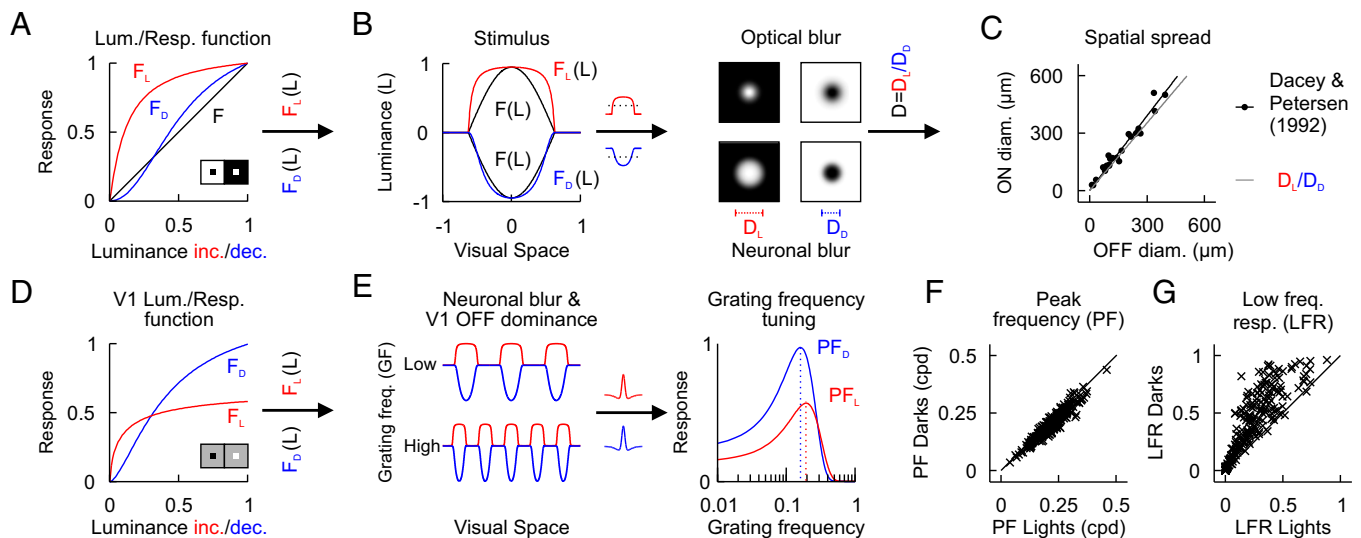


Fig. 6. ON and OFF luminance/response functions explain difference in spatial resolution between darks and lights. (A) Luminance/response functions for lights (F_L) and darks (F_D) on dark/light backgrounds (stimulus shown in *Inset*). F_D is closer to a linear function (F) than F_L . (B) A stimulus projected on the retina $F(L)$ is blurred by both the optics of the eyes (optical blur) and the luminance/response functions (neuronal blur). The light stimulus $F_L(L)$ becomes broader than the dark stimulus $F_D(L)$ and, therefore, the spatial distortion is larger for lights than darks ($D_L > D_D$). (C) The ratio D_L/D_D matches the ratio between the dendritic field diameters of ON (ONdiam) and OFF (OFFdiam) retinal ganglion cells (11). That is, $\text{ONdiam} \sim \text{OFFdiam} \times D_L/D_D$. (D) V1 luminance/response functions for lights and darks on gray backgrounds (stimulus shown in *Inset*). (E) The neuronal blur and the cortical OFF dominance cause light half-wave rectified gratings to be wider but smaller in amplitude than dark half-wave rectified gratings (*Left*). When convolved with the synaptic dendritic field (*insets* next to arrow), the grating distortions lead to differences in spatial frequency tuning. (F) The spatial distortion of the neuronal blur makes the peak frequency higher for rectified light gratings (PF_L) than dark gratings (PF_D). Compare with Fig. 2G. (G) The cortical OFF dominance makes the LFR stronger for dark than light gratings. Compare with Fig. 2H. For more detail, see [SI Text](#).

for formalized model describing how an early retinal nonlinearity can cause dark/light spatial asymmetries).

Discussion

Our study reveals asymmetries between ON and OFF pathways that have important consequences for visual perception. We demonstrate that the visual thalamus and cortex respond more linearly to dark than light stimuli and that this asymmetry explains the higher spatial resolution for darks reported by Galilei (4). Furthermore, we show that the background luminance affects more ON than OFF channels in their response magnitude, response linearity, and receptive field size. Finally, we show that changes in the ON channel with background luminance can explain the dynamics of the irradiation illusion: The illusion is perceived stronger on dark/light backgrounds than on gray backgrounds (7).

Because ON cells have larger receptive fields than OFF cells, it is generally assumed that the ON channel responds to lower spatial frequencies than the OFF channel. Surprisingly, our results demonstrate the opposite: The ON channel responds to higher peak grating frequencies than the OFF channel and, at the same time, OFF responses are stronger than ON responses at low spatial frequencies. We explain this paradox with the measured ON compressive nonlinearity and thereby provide a simple—yet powerful—framework for explaining the observed differences in spatial processing of ON and OFF responses.

Another important conclusion from our work is that the compressive nonlinearity is not unique of ON center retinal ganglion cells. By contrast, it is found in both ON- and OFF-center cells (surround stimulation in OFF cells and center stimulation in ON cells). This is an important finding that strongly suggests that the compressive nonlinearity is not a distinctive feature of ON retinal ganglion cells but of responses to lights and that it could originate as early as the photoreceptors (19–24). This conclusion is supported by our measurements in thalamic neurons and the distorted responses to sinusoidal drifting gratings of retinal horizontal cells (10).

Our results are also consistent with previous studies that reported lower contrast response thresholds for ON than OFF center retinal ganglion cells (8, 9, 25) but inconsistent with the notion that ON neurons encode visual responses more linearly than OFF neurons (8, 9). We conclude that the perception of darks and lights is influenced by both the saturating nonlinearity that we describe in ON cells and the rectifying nonlinearity previously demonstrated in OFF cells (8, 9). However, we notice that the ON saturating nonlinearity seems to dominate our perception of darks and lights, as it can be simply demonstrated by presenting a static sine grating on a monitor that has been gamma calibrated. The grating will appear greatly distorted with the white parts looking wider than the dark parts, exactly what it would be expected from a strong saturating nonlinearity in the ON pathway.

Interestingly, ON and OFF olfactory receptor neurons show similar differences in response nonlinearity, with the OFF receptors generating stronger and less saturating responses than ON receptors (26). Therefore, taken together, these results suggest that the differences in response linearity between ON and OFF channels may be a general principle of sensory systems signaling decrements and increments in a physical quantity, be it light in vision or the concentration of a chemical in olfaction.

Optical quality and visual spatial resolution have been traditionally measured with sinusoidal gratings that alternate light increments and decrements of equal magnitude around a mean level. In fact, the modulation transfer function is probably the most common measure of how faithfully a lens, a human observer or a visual neuron reproduces the spatial frequency of a grating (27–29). Binary stimuli (light and dark luminance values) are also widely used to estimate spatiotemporal receptive fields (30–33). However, both modulation transfer functions and linear receptive field estimates assume that darks and lights drive neuronal responses with equal strength and neuronal populations with similar spatial resolution, two assumptions that are not supported by our results. In addition to the consequences of our

results for visual processing and perception, the pronounced ON-OFF asymmetry that we describe could play an important role in visual development. In the first few weeks after birth, the optical components of the eye are immature and the natural images projected on the retinas are blurred and dominated by low spatial frequencies (34). Because our results show that low spatial frequencies drive OFF visual responses more effectively than ON visual responses, the OFF channel could have a competitive advantage over the ON channel if neuronal activity plays a role in the wiring of visual cortex (35). Also, because the early compressive nonlinearity causes more spatial distortion in the ON than OFF channel, differences in dendritic field size between ON and OFF retinal ganglion cells (11) could also be driven by the early nonlinearity. Finally, we provide one more example of how sensory processing evolves to match the natural environment. Natural images have more darks than lights (36) and, as shown here, the neuronal machinery in the visual pathway allocates a greater linear range to measure variations in darkness than lightness.

Materials and Methods

Surgery and Preparation. Details of the surgical procedures have been described previously (3, 37). All procedures were performed in accordance to the guidelines of the US Department of Agriculture and approved by the Institutional Animal Care and Use Committee at the State University of New York, State College of Optometry.

Electrophysiological Recordings and Data Acquisition. *Cat.* A matrix of seven independently moveable electrodes arranged circularly (Thomas Recording) was used to simultaneously record from multiple geniculate cells with spatially overlapping receptive fields in the A layers of LGN (visual eccentricity 5–20°). Cortical multiunit activity was recorded using a 32-channel multielectrode

array (Neuronexus) that was tangentially introduced into the primary visual cortex. The signals from the recording electrodes were amplified, filtered, and collected by a computer running Rasputin (Plexon), as previously described (3). Spike waveforms from each geniculate cell were initially identified during the experiment and later carefully verified off-line with spike-sorting software (Plexon). Cortical multiunit activity was not sorted. Most cells/sites in this study were recorded within 10° of the area centralis.

Awake primate. Local field potential (LFP) activity in V1 was recorded with chronically implanted ultrathin electrodes (impedance: 1–3 MOhms), independently moveable with individual microdrives (38, 39). The signals were amplified ($\times 5,000$), low-pass filtered (low-pass cutoff = 200 Hz), and sampled at a frequency of 5,000 Hz.

Human. Visual evoked potential (VEP) (13) activity was recorded with gold electrodes from Grass Technologies (electrode impedance = 2–5 kOhm). The electrodes were positioned according to the “10-20 International System,” i.e., the signal electrode was positioned 3–4 cm above theinion, the negative location on the right earlobe, and the ground on the forehead. The signal was amplified ($\times 5,000$) and filtered (band pass = 0.01–1 kHz) using an amplifier from Grass Technologies (Model 15LT) and collected by a computer running Rasputin (Plexon). Eye position was continuously measured using an EyeLink system (EyeLink 2000). Stimuli were presented in blocks of ~5-s duration in which we asked the subjects to maintain fixation without blinking with their eyes. In case eye blinks occurred or fixation was lost during a block, stimulation was stopped and the same block was restarted after a short break. This careful stimulus design resulted in very stable VEP signals during the valid blocks (*SI Materials and Methods*). The study was approved by the institutional review board at the State University of New York, State College of Optometry.

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